

# Advanced algebraic number theory

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# Galois theory

## Reminder: Galois extensions

Let  $K/F$  be a finite<sup>1</sup> extension. We say that  $K/F$  is **Galois** (or **normal**) if  $F = K^{\text{Aut}_F(K)}$  (we always have  $\subset$ ).

When  $K/F$  is Galois, we define its **Galois group** to be

$$\text{Gal}(K/F) = \text{Aut}_F(K).$$

In this case, there is an inclusion-reversing bijection between

- ▶ intermediate fields  $F \subset L \subset K$ , and
- ▶ subgroups  $H$  of  $G$ ,

given by

- ▶  $L \mapsto \text{Aut}_L(K)$ , and
- ▶  $H \mapsto K^H$ .

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<sup>1</sup>separable

## Reminder: Galois closure

Let  $f \in F[X]$  be irreducible, so that it defines a finite extension  $K = F[X]/(f)$ .

There is a unique smallest extension  $\tilde{K}/K$  such that  $\tilde{K}/F$  is Galois: the **Galois closure** of  $F$ . It is also the **splitting field** of  $f$ : the smallest field over which  $f$  splits into linear factors.

The "Galois group" of  $f \in F[X]$  (or  $K$ ) is  $\text{Gal}(\tilde{K}/F)$ . It is usually seen as a permutation group acting on the roots of  $f$ .

## polgalois

We can compute the Galois group of the Galois closure of a number field, as a transitive permutation group. Restricted to degree  $\leq 7$ , or degree  $\leq 11$  with the `galdata` optional package.

```
P1 = x^4-5;  
polgalois(P1)  
%2 = [8, -1, 1, "D(4)"]
```

Interpretation: the Galois group has order 8, is not contained in the alternating group ("signature  $-1$ "), and is isomorphic to  $D_4$ .

## polgalois

```
P2 = x^4-x^3-7*x^2+2*x+9;  
polgalois(P2)  
%4 = [12, 1, 1, "A4"]
```

The Galois group has order 12 and signature 1, and is isomorphic to  $A_4$ .

```
P3 = x^4-x^3-3*x^2+x-1;  
polgalois(P3)  
%6 = [24, -1, 1, "S4"]
```

The Galois group has order 24 and signature  $-1$ , and is isomorphic to  $S_4$ .

## nfsplitting

We can compute a polynomial defining the splitting field of the input polynomial, that is, the smallest field over which the input polynomial is a product of linear factors.

```
Q1 = nfsplitting(P1)
%7 = x^8 + 70*x^4 + 15625
Q2 = nfsplitting(P2)
%8 = x^12 - 59*x^10 + 1269*x^8 - 12231*x^6
    + 51997*x^4 - 79707*x^2 + 26569
```

This is the same as a defining polynomial for the Galois closure of the number field generated by one root of the input polynomial.

## nfsplitting

The polynomial output by nfsplitting can be large.

```
Q3 = nfsplitting(P3)
```

```
%9 = x^24+12*x^23-66*x^22-1232*x^21+735*x^20  
+54012*x^19+51764*x^18-1348092*x^17-2201841*x^16  
+21708244*x^15+41344014*x^14-241723272*x^13  
-454688929*x^12+1972336584*x^11+3130578366*x^10  
-12348327032*x^9-13356023346*x^8+59757161004*x^7  
+32173517686*x^6-204540935496*x^5-11176476888*x^4  
+433089193668*x^3-155456858376*x^2-422808875280*x  
+320938557273
```

## polredbest

We can use `polredbest` to compute a simpler polynomial defining the same number field.

```
Q3 = polredbest(Q3)
%10 = x^24-6*x^23+18*x^22-38*x^21+60*x^20-54*x^19
      -13*x^18+126*x^17-228*x^16+220*x^15+24*x^14
      -396*x^13+521*x^12-216*x^11-48*x^10-32*x^9-66*x^8
      +666*x^7-1013*x^6+348*x^5+510*x^4-654*x^3+234*x^2
      +36*x+9
```

## galoisinit

We can use `galoisinit` to compute the automorphism group of a number field that is Galois over  $\mathbb{Q}$ , under certain condition on the group (“weakly super-solvable”).

```
gal = galoisinit(Q3);
```

The `gal.gen` component is a list of generators of the automorphism group, expressed as permutations of the roots.

```
gal.gen
%12 = [Vecsmall([19,11,17,14,13,12,10,9,8,7,2,6,5,
  4,23,22,3,21,1,24,18,16,15,20]),Vecsmall([14,10,5,
  19,3,24,11,16,22,2,7,20,17,1,21,8,13,23,4,12,15,9,
  18,6]),Vecsmall([5,15,6,13,20,19,23,7,11,18,21,4,
  12,17,16,2,24,22,3,1,9,10,8,14]),Vecsmall([2,1,9,
  10,16,21,14,17,3,4,19,18,22,7,20,5,8,12,11,15,6,
  13,24,23])]
```

## galoisinit

The `orders` components contains orders of composition factors of the group, and their product is the order of the group.

```
ord = gal.orders  
%13 = Vecsmall([2, 2, 3, 2])  
prod(i=1, #ord, ord[i])  
%14 = 24
```

With the function `galoisidentify`, we can obtain the GAP4 index of the group.

```
galoisidentify(gal)  
%15 = [24, 12]
```

## Effective Galois theory

`galoissubgroups` computes the list of all subgroups of a group.

```
L = galoissubgroups(gal);
#L
%17 = 30
```

Then we can compute fixed fields of various subgroups of the Galois group with `galoisfixedfield`.

```
R1 = galoisfixedfield(gal,L[25])[1];
polgalois(R1)
%19 = [24, 1, 1, "S_4(6d) = [2^2]S(3)"]
R2 = galoisfixedfield(gal,L[28])[1];
polgalois(R2)
%21 = [24, -1, 1, "S_4(6c) = 1/2[2^3]S(3)"]
```

# Ramification theory

## Reminder: ramification groups

Let  $K/F$  be a Galois extension of number fields with group  $G$ .

Let  $\mathfrak{P}$  be a prime ideal of  $K$ . The **decomposition group** is

$$G_{\mathfrak{P}} = G_{\mathfrak{P},-1} = \{\sigma \in G \mid \mathfrak{P}^{\sigma} = \mathfrak{P}\}.$$

It acts on the residue rings  $\mathbb{Z}_K/\mathfrak{P}^i$ .

For  $i \geq 0$ , the  **$i$ -th ramification group** is

$$G_{\mathfrak{P},i} = \{\sigma \in G_{\mathfrak{P}} \mid \sigma(\lambda) \equiv \lambda \pmod{\mathfrak{P}^{i+1}} \text{ for all } \lambda \in \mathbb{Z}_K\}.$$

$G_{\mathfrak{P},0}$  is the **inertia group** and  $G_{\mathfrak{P},1}$  the **wild inertia group**.

## Reminder: Frobenius elements

Let  $K/F$  be a Galois extension of number fields with group  $G$ .

Let  $\mathfrak{p}$  be a prime ideal of  $F$  and  $\mathfrak{P}$  a prime ideal of  $K$  dividing  $\mathfrak{p}\mathbb{Z}_K$ . Assume that  $\mathfrak{p}$  is unramified (the exponent of  $\mathfrak{P}$  is 1).

There exist a **Frobenius element**  $\text{Frob}_{\mathfrak{P}} \in G$  such that for all  $\lambda \in \mathbb{Z}_K$  we have

$$\text{Frob}_{\mathfrak{P}}(\lambda) = \lambda^{N(\mathfrak{p})} \bmod \mathfrak{P}.$$

As  $\mathfrak{P}' \mid \mathfrak{p}\mathbb{Z}_K$  varies, the  $\text{Frob}_{\mathfrak{P}'}$  form a conjugacy class  $\text{Frob}_{\mathfrak{p}}$ .

## Ramification groups

We can compute ramification groups. Let's first find the ramified primes.

```
nf = nfinit(Q3);  
factor(nf.disc)  
%23 =  
[ 3 28]  
[11 16]
```

The ramified primes are 3 and 11.

```
dec3 = idealprimedec(nf, 3);  
pr3 = dec3[1];  
[#dec3, pr3.f, pr3.e]  
%26 = [4, 1, 6]
```

There are 4 prime ideals above 3. They have residue degree 1 and ramification index 6.

## Ramification groups

We compute the sequence of ramification groups  
with `idealramgroups`.

```
ram3 = idealramgroups(nf, gal, pr3);  
#ram3  
%28 = 3
```

There are three nontrivial ramification groups to consider.

```
galoisidentify(ram3[1])  
%29 = [6, 1]  
galoisisabelian(ram3[1])  
%30 = 0
```

The decomposition group has order 6, and is isomorphic to  $S_3$ .

## Ramification groups

```
galoisidentify(ram3[2])  
%31 = [6, 1]
```

The inertia group equals the decomposition group (we already knew that since the residue degree is 1).

```
galoisidentify(ram3[3])  
%32 = [3, 1]
```

The wild inertia group is the cyclic group  $C_3$ , and all the higher ramification groups are trivial.

## Ramification groups

```
dec11 = idealprimedec(nf,11);  
pr11 = dec11[1];  
[#dec11, pr11.f, pr11.e]  
%35 = [4, 2, 3]
```

There are 4 prime ideals above 11. They have residue degree 2 and ramification index 3.

```
ram11 = idealramgroups(nf,gal,pr11);  
#ram11  
%37 = 2
```

The wild ramification group is trivial (which we knew since 11 is coprime to the group order).

# Ramification groups

```
galoisidentify(ram11[1])  
%38 = [6, 1]  
galoisidentify(ram11[2])  
%39 = [3, 1]
```

The decomposition group is isomorphic to  $S_3$  (we already knew it had index 4 in the Galois group), and the inertia group is  $C_3$  (we already knew it had index 2 in the decomposition group).

## Frobenius elements

At an unramified prime, we can compute the Frobenius element with `idealfrobenius`.

```
dec2 = idealprimedec(nf,2);  
pr2 = dec2[1];  
[#dec2, pr2.f, pr2.e]  
%42 = [6, 4, 1]  
frob2 = idealfrobenius(nf,gal,pr2);  
permorder(frob2)  
%44 = 4
```

We check that the Frobenius element has order equal to the residue degree.

# Class field theory

## Reminder: ray class groups

A modulus  $\mathfrak{m}$  of a number field  $K$  is a pair  $(\mathfrak{m}_f, \mathfrak{m}_\infty)$  of a nonzero ideal  $\mathfrak{m}_f$  and a set  $\mathfrak{m}_\infty$  of real embeddings of  $K$ .

Define  $U_K(\mathfrak{m}) \subset K^\times$ : we have  $\beta \in U_K(\mathfrak{m})$  iff

- ▶  $v_p(\beta - 1) \geq v_p(\mathfrak{m}_f)$  for all  $p \mid \mathfrak{m}_f$ , and
- ▶  $\sigma(\beta) > 0$  for all  $\sigma \in \mathfrak{m}_\infty$ .

The ray class group

$$\mathrm{Cl}_{\mathfrak{m}}(K) = \frac{(\text{nonzero ideals of } K \text{ coprime to } \mathfrak{m}_f)}{(\text{principal ideals } \beta \mathbb{Z}_K \text{ with } \beta \in U_K(\mathfrak{m}))}.$$

is a finite abelian group.

## Reminder: ray class fields

For every modulus  $\mathfrak{m}$ , there exists a unique Abelian extension of  $K$ , the ray class field  $K(\mathfrak{m})$ , such that

- ▶  $\text{Gal}(K(\mathfrak{m})/K) \cong \text{Cl}_{\mathfrak{m}}(K)$ , and
- ▶ a prime ideal  $\mathfrak{p}$  coprime to  $\mathfrak{m}_f$  splits in  $K(\mathfrak{m})$  if and only if the class of  $\mathfrak{p}$  in  $\text{Cl}_{\mathfrak{m}}(K)$  is trivial.

The special case  $K(1)$  is called the Hilbert class field.

Every Abelian extension of  $K$  is contained in some  $K(\mathfrak{m})$ , and can therefore be described by a pair  $(\mathfrak{m}, H)$  where  $H \subset \text{Cl}_{\mathfrak{m}}(K)$ .

**Example:**  $\mathbb{Q}(m_{\infty}) = \mathbb{Q}(\zeta_m)$ .

## Explicit Kronecker–Weber theorem

We can construct abelian extensions of  $\mathbb{Q}$  with `polsubcyclo`.

```
N = 7*13*19;
L1 = polsubcyclo(N, 3);
```

We now have the list of degree 3 subfields of  $\mathbb{Q}(\zeta_N)$ ,  
where  $N = 7 \cdot 13 \cdot 19$ .

```
L2 = [P | P <- L1, #factor(nfinit(P).disc)[,1]==3]
%47 = [x^3+x^2-576*x+5123, x^3+x^2-576*x-64,
      x^3+x^2-576*x-5251, x^3+x^2-576*x+1665]
```

We select the ones that are ramified at the three primes 7, 13 and 19.

# Explicit Kronecker–Weber theorem

We compute the structure and generators of  $(\mathbb{Z}/N\mathbb{Z})^\times$  with `znstar`.

```
G = znstar(N)
%48 = [1296, [36, 6, 6], [Mod(743, 1729),  
      Mod(248, 1729), Mod(407, 1729)]]
```

We construct the matrix of a specific subgroup of index 3:

```
H = mathnfmodid([1, 0; -1, 1; 0, -1], 3);
```

## Explicit Kronecker–Weber theorem

We construct the corresponding abelian field.

```
pol = galoissubcyclo(G,H)
%50 = x^3 + x^2 - 576*x - 64
factor(nfinit(pol).disc)
%51 =
[ 7 2]
[13 2]
[19 2]
```

We check the ramification of the corresponding number field.

## Hilbert class field

To compute a Hilbert class field, we first need to compute the class group.

```
bnf = bnfinit(a^2-a+50);  
bnf.cyc  
%53 = [9]
```

The class group is isomorphic to  $\mathbb{Z}/3\mathbb{Z}$ . We compute a relative defining polynomial for the Hilbert class field with the function `bnrclassfield`.

```
R = bnrclassfield(bnf)[1]  
%54 = x^9 - 24*x^7 + (2*a - 1)*x^6 + 495*x^5  
      + (-12*a + 6)*x^4 - 30*x^3 + (18*a - 9)*x^2  
      + 18*x + (-2*a + 1)
```

## Hilbert class field

Conversely, from an abelian extension, we can recover its corresponding class group `rnfconductor`.

```
[cond, bnr, subg] = rnfconductor(bnf, R);  
cond  
%56 = [[1, 0; 0, 1], []]  
subg  
%57 = [9]
```

Here the conductor is trivial, and its norm group is trivial in the class group.

## Hilbert class field

We can also ask for an absolute defining polynomial for the Hilbert class field with the optional `flag=2`.

```
R2 = bnrclassfield(bnf,,2)
%58 = x^18 - 48*x^16 + 1566*x^14 - 23621*x^12
      + 244113*x^10 - 19818*x^8 - 3170*x^6
      + 17427*x^4 - 3258*x^2 + 199
```

## Ray class fields

We can also consider class fields with nontrivial conductor.

```
bnr = bnrinit(bnf,12);  
bnr.cyc  
%60 = [72,2]
```

We can compute in advance the absolute degree, signature and discriminant of the corresponding class field with `bnrdisc`.

```
[deg,r1,D] = bnrdisc(bnr);  
[deg,r1]  
%62 = [288,0]  
D  
%63 = 92477896[...538 digits...]84942237696
```

This field is huge!

## Ray class fields

For efficiency, we compute the class field as a compositum of several smaller fields.

```
bnrclassfield(bnr)
%64 = [x^2 - 3, x^8 + (-27*a+24)*x^6
      + (-294*a-3273)*x^4 + (-3*a-3852)*x^2 - 3,
      x^9 - 24*x^7 + (2*a-1)*x^6 + 495*x^5
      + (-12*a+6)*x^4 - 30*x^3 + (18*a-9)*x^2
      + 18*x + (-2*a+1)]
```

We can force the computation of a single polynomial with `flag=1`.

```
bnrclassfield(bnr,,1)
%65 = [... huge polynomial ...]
```

## Ray class fields

We can also compute a subfield of the ray class field by specifying a subgroup.

```
bnr = bnrinit(bnf, 7)
bnr.cyc
%67 = [54, 3]
bnrclassfield(bnr, 3) \\elementary 3-subextension
%68 = [x^3 + 3*x + (14*a - 7),
      x^3 + (-1008*a - 651)*x + (-1103067*a - 8072813)]
```

## Without the explicit field

Computing a defining polynomial with `bnrclassfield` can be time-consuming, so it is better to compute the relevant information without constructing the field, if possible.

We already saw the use of `bnrdisc`; we can also compute splitting information without the explicit field.

```
pr41 = idealprimedec(bnf, 41)[1];  
bnrisprincipal(bnr, pr41, 0)  
%70 = [0, 0]~
```

The Frobenius at  $\mathfrak{p}_{41}$  is trivial: this prime splits completely in the degree 162 extension (which we did not compute).

## Ray class fields

Let's do a full example with an HNF ideal and a subgroup given by a matrix.

```
bnr = bnrinit(bnf, [102709, 43512; 0, 1]);  
bnr.cyc  
%72 = [17010, 27]  
bnrclassfield(bnr, [9, 3; 0, 1]) \\subgroup of index 9  
%73 = [x^9 + (-297*a - 4470)*x^7 + ... ]
```

## Modulus with infinite places

If the base field has real places, we can specify the modulus at infinity by providing a list of 0 or 1 of length the number of real embeddings.

```
bnf=bnfinit(a^2-217);  
bnf.cyc  
%75 = []  
bnrinit(bnf,1).cyc  
%76 = []  
bnrinit(bnf,[1,[1,1]]).cyc  
%77 = [2]
```

The field  $\mathbb{Q}(\sqrt{217})$  has narrow class number 2.

## Galois action on the class group

We can compute the Galois action on ray class groups with `bnrgaloismatrix`, i.e. the Galois action on the relative Galois group, without the explicit abelian extension.

```
bnf = bnfinit(x^2+2*3*5*7*11);  
bnf.cyc  
%81 = [4, 2, 2, 2]  
bnr = bnrinit(bnf,1,1);  
gal = galoisinit(bnf);  
m = bnrgaloismatrix(bnr,gal)[1]  
%84 =  
[3 0 0 0]  
[0 1 0 0]  
[0 0 1 0]  
[0 0 0 1]
```

# Questions ?

Have fun with GP !